

# Space Resource Utilization and Human Exploration of Space

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# NASA Strategic Goals:

- Extend and sustain human activities across the solar system
- Ascertain the content, origin, and evolution of the solar system and the potential for life elsewhere.
- Create the innovative new space technologies for our exploration, science, and economic future

## Affordable and Sustainable

Critical for exploration beyond low Earth orbit

- Robotics & Automation
- Power Systems
- Propulsion
- Habitation & Life Support
- **Space Resource Utilization**



# Sustainable Human Space Exploration

## NASA's Building Blocks to Mars

U.S. companies provide affordable access to low Earth orbit

Mastering the fundamentals aboard the International Space Station

Pushing the boundaries in cis-lunar space

Developing planetary independence by exploring Mars, its moons, and other deep space destinations

The next step: traveling beyond low-Earth orbit with the Space Launch System rocket and Orion crew capsule

*Missions: 6 to 12 months  
Return: hours*

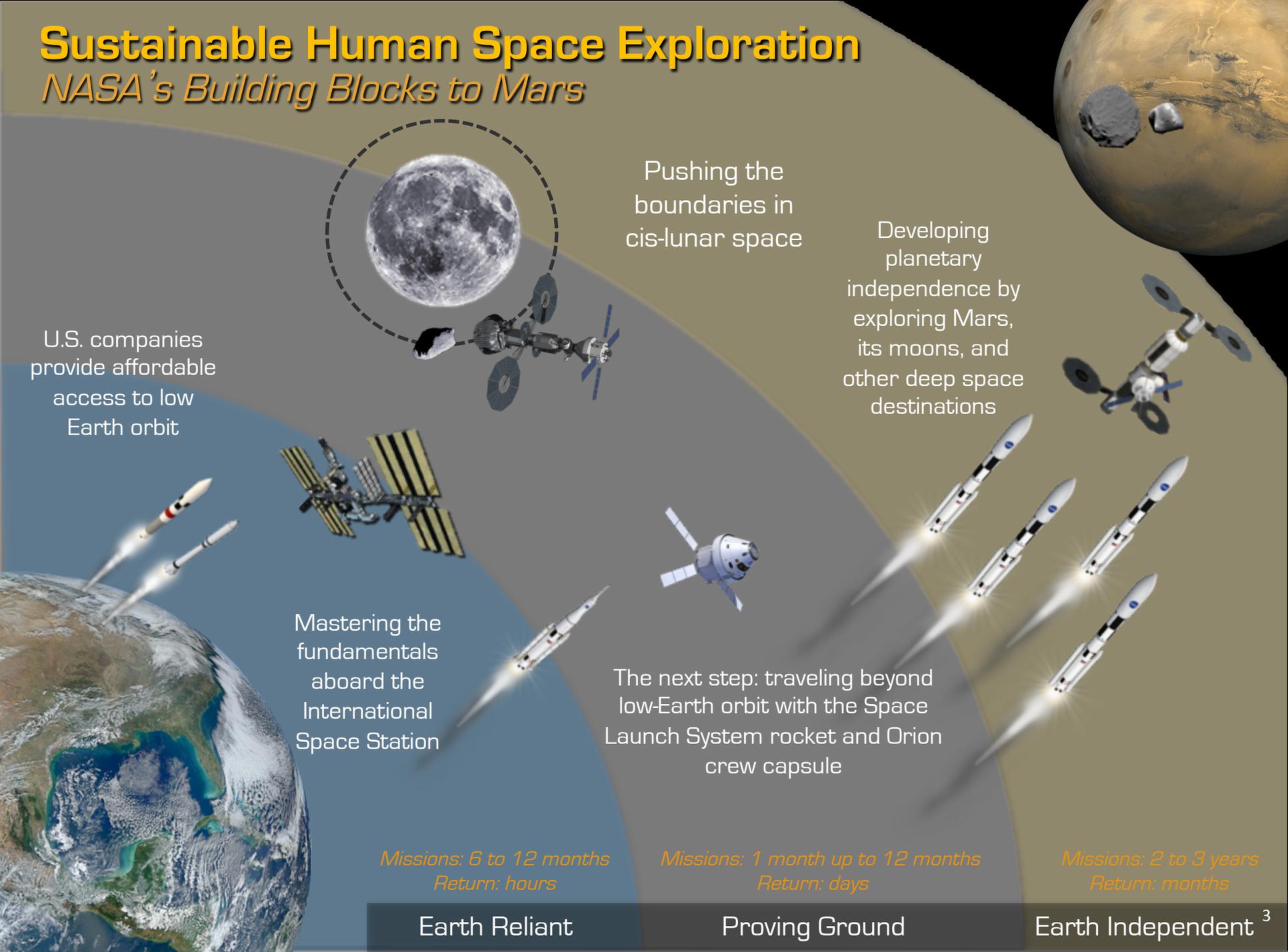
*Missions: 1 month up to 12 months  
Return: days*

*Missions: 2 to 3 years  
Return: months*

Earth Reliant

Proving Ground

Earth Independent <sup>3</sup>



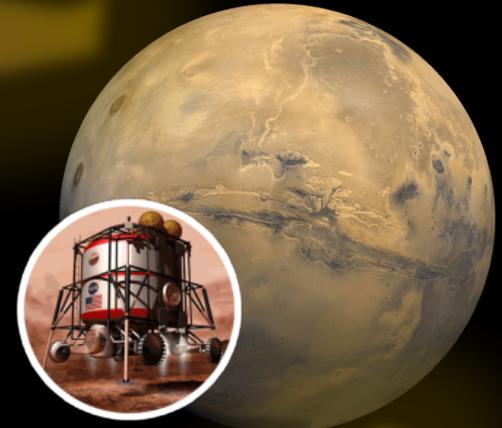
# Evolvable Mars Campaign: Enabling Technologies

## Transportation

- Oxygen-Rich Staged Combustion (ORSC) Engine Technology
- Chem Prop (In-Space): LOX/Methane Cryo (Propulsion & RCS)
- Solar Electric Propulsion & Power Processing
- 10-100 kW Class Solar Arrays
- Cryo Propellant Acquisition & ZBO Storage
- AR&D, Prox Ops & Target Relative Navigation
- EDL, Precision Landing, Heat Shield
- Autonomous Vehicle Systems Management
- Mission Control Automation beyond LEO

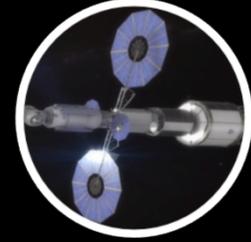
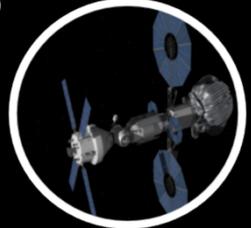
## Staying Healthy

- Advanced, High-Reliability ECLSS
- Long-Duration Spaceflight Medical Care
- Long-Duration Spaceflight Behavioral Health & Performance
- $\mu$ -G Biomedical Counter-Measures for Long-Duration Spaceflight
- Deep Space Mission Human Factors & Habitability
- In-Flight Environmental Monitoring
- Human SPE & GCR Radiation Exposure Prevention & Protection
- Fire Prevention, Detection, Suppression (Reduced Pressure)



## Working in Space

- Autonomy beyond LEO
- High Data Rate Forward Link Communications
- High-Rate, Adaptive, Internetworked Proximity Communications
- In-Space Timing & Navigation for Autonomy
- Fission Surface Power (FSP)
- **ISRU (Atmospheric & Regolith)**
  - Mechanisms (low-temp), Dust Mitigation
  - Tele-robotic Control of Robotic Systems with Time Delay
  - Robots Working Side-By-Side with Suited Crew
  - Robotics & Mobility **EVA Exploration Suit and PLSS**
  - **Electro-Chemical Power Systems**
  - **Advanced Fire Protection Systems**
  - Deep Space Suit & Mars Surface Suit (EVA)
  - Surface Mobility
  - Suit Port,  $\mu$ -G tools & anchoring
  - Advance Software Development/Tools



# What are Space Resources?

## ■ 'Resources'

- Traditional: **Water**, atmospheric gases, volatiles, solar wind volatiles, metals, etc.
- Non-traditional: Trash and wastes from crew, spent landers and residuals, etc.

## ■ Energy

- Permanent/Near-Permanent Sunlight
  - Stable thermal control & power/energy generation and storage
- Permanent/Near-Permanent Darkness
  - Thermal cold sink for cryo fluid storage & scientific instruments

## ■ Environment

- Vacuum
- Micro/Reduced Gravity
- High Thermal Gradients

## ■ Location

- Stable Locations/'Real Estate':
  - Earth viewing, sun viewing, space viewing, staging locations
- Isolation from Earth
  - Electromagnetic noise, hazardous testing & development activities (nuclear, biological, etc.), extraterrestrial sample curation & analysis, storage of vital information, etc.

# Natural Space Resources



## Four major resources on the Moon:

- **Regolith:** oxides and metals
  - Ilmenite 15%
  - Pyroxene 50%
  - Olivine 15%
  - Anorthite 20%
- Solar wind volatiles in regolith
  - Hydrogen 50 – 150 ppm
  - Helium 3 – 50 ppm
  - Carbon 100 – 150 ppm
- **Water/ice** and other volatiles in polar shadowed craters
  - 1-10% (LCROSS)
  - Thick ice (SAR)
- Discarded materials: **Lander and crew trash and residuals**

## Resources of Interest

- **Oxygen**
- **Water**
  - Hydrogen
  - Carbon/CO<sub>2</sub>
  - Nitrogen
  - Metals
  - Silicon

## ~85% of Meteorites are Chondrites

**Ordinary Chondrites**  
FeO:Si = 0.1 to 0.5  
Fe:Si = 0.5 to 0.8

Source metals  
(Carbonyl)

87%  
Pyroxene  
Olivine  
Plagioclase  
Diopside  
Metallic Fe-Ni alloy  
Triolite - FeS

**Carbonaceous Chondrites** 8%  
Highly oxidized w/ little or no free metal  
Abundant volatiles: up to 20% bound water and 6% organic material

Source of water/volatiles

**Enstatite Chondrites** 5%  
Highly reduced; silicates contain almost no FeO  
60 to 80% silicates; Enstatite & Na-rich plagioclase  
20 to 25% Fe-Ni  
Cr, Mn, and Ti are found as minor constituents

Easy source of oxygen (Carbothermal)

## Three major resources on Mars:

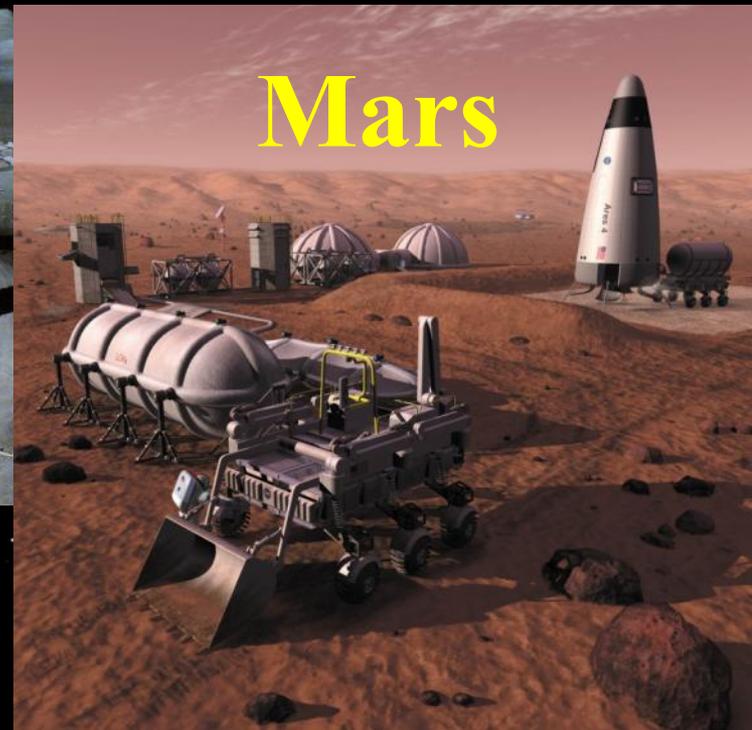
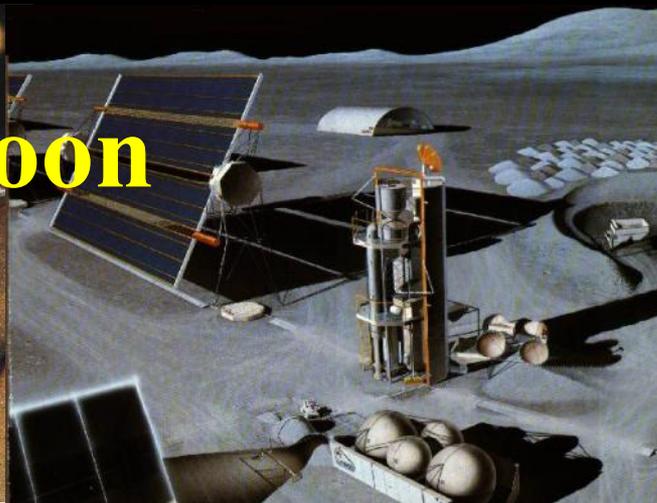
- **Atmosphere:**
  - 95.5% Carbon dioxide,
  - 2.7% Nitrogen,
  - 1.6% Argon
- **Water in soil:** concentration dependant on location
  - 2% to dirty ice at poles
- Oxides and metals in the soil



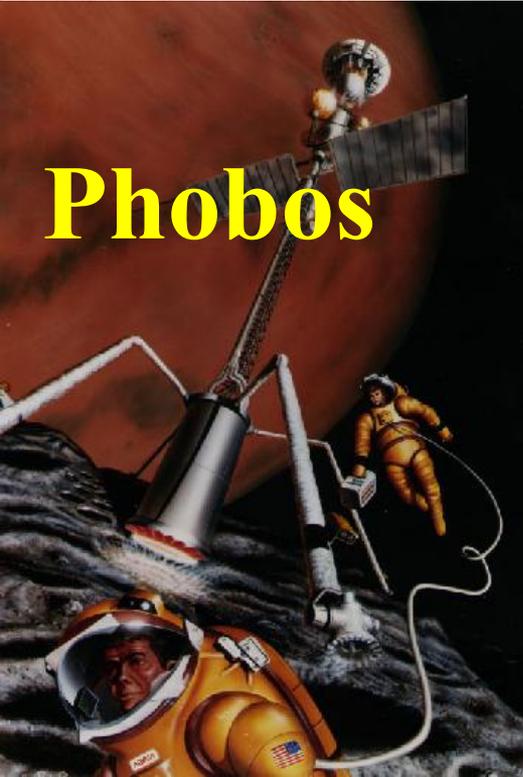
# Vision for Using Space Resources



**Moon**



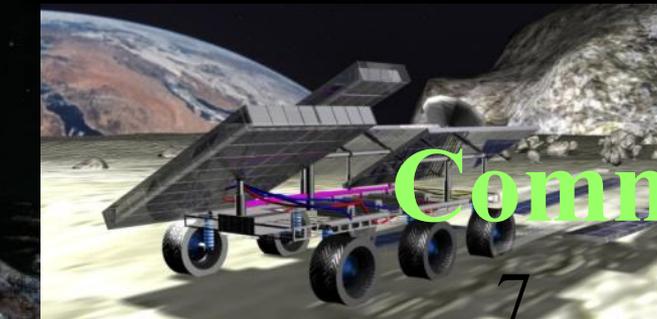
**Mars**



**Phobos**



**NEAs**



**Commercial**



# What is *In Situ* Resource Utilization (ISRU)?

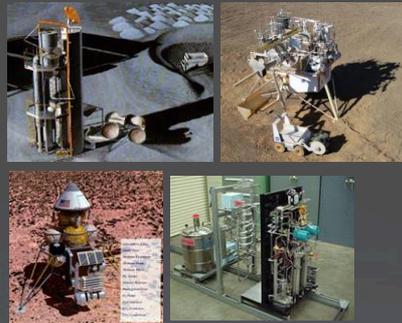
ISRU involves any hardware or operation that harnesses and utilizes 'in-situ' resources to create products and services for robotic and human exploration

## Resource Assessment (Prospecting)



Involves assessment of physical, mineral/chemical, and volatile/water resources, terrain, geology, and environment

## Resource Processing/Consumable Production



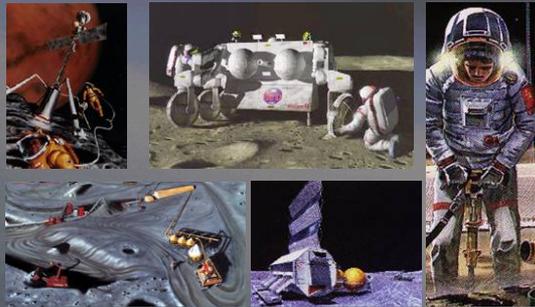
Involves multi-step thermal, chemical, and electrical processing of extracted resources into products with immediate use or as feedstock for construction and/or manufacturing

## *In Situ* Manufacturing



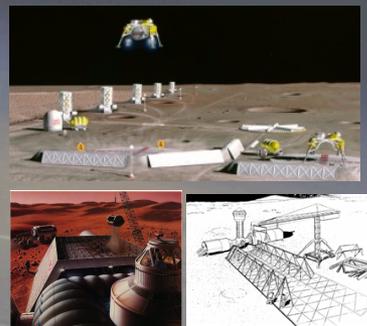
Involves production of replacement parts, complex products, machines, and integrated systems from feedstock derived from one or more processed resources

## Resource Acquisition



Involves extraction, excavation, transfer, and preparation before processing

## *In Situ* Construction



Involves processes and operations for civil engineering, infrastructure emplacement and structure construction using materials produced from in situ resources

## *In Situ* Energy



Involves creation and storage of electrical, thermal, and chemical energy from in situ derived resources

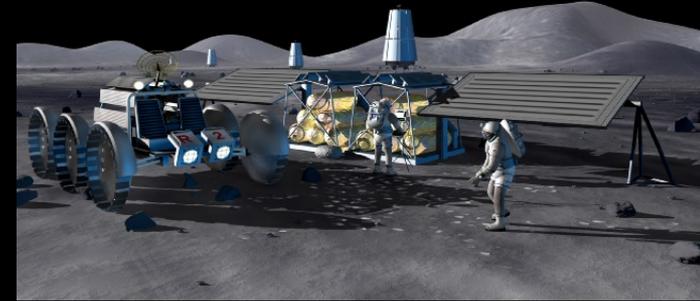
- **'ISRU' is a capability involving multiple elements to achieve final products** (mobility, product storage and delivery, power, crew and/or robotic maintenance, etc.)
- **'ISRU' does not exist on its own.** By definition it must connect and tie to multiple uses and systems to produce the desired capabilities and products.

# Potential Lunar ISRU Mission Capabilities

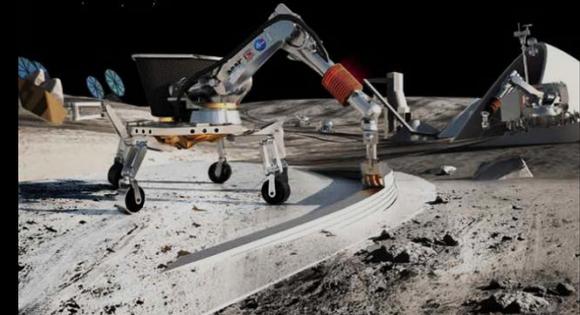
**Excavation & Regolith Processing for O<sub>2</sub> and Metal Production**



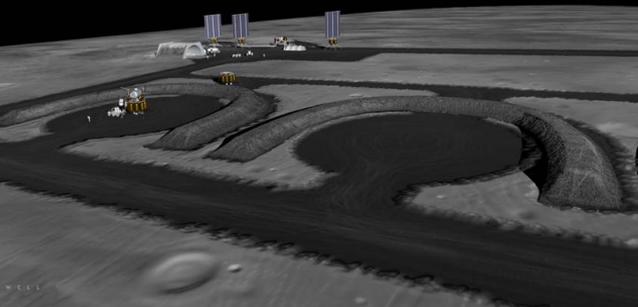
**Consumable Depots and Waypoints for Crew & Power**



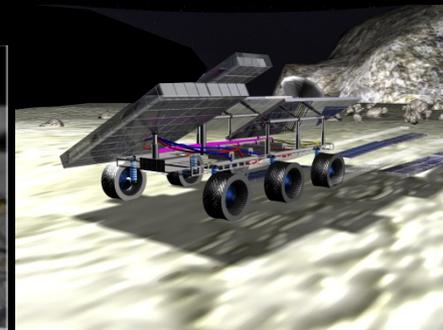
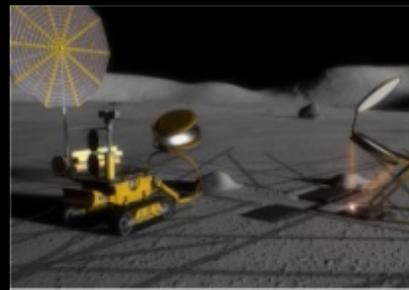
**Structure and Habitat Construction**



**Polar Ice/Volatile Prospecting & Mining**



**Solar and Thermal Energy Storage Construction**



**Landing Pads, Berm, and Road Construction**

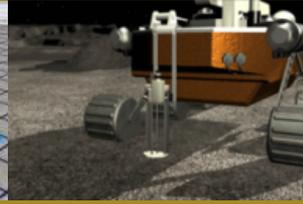
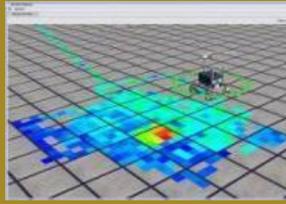
# Space 'Mining' Cycle: Prospect to Product

## Resource Assessment (Prospecting)

Global Resource Identification

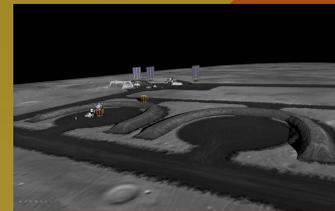


Local Resource Exploration/  
Planning



Mining

*Communication & Autonomy*



Site Preparation & Infrastructure Emplacement

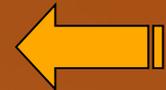
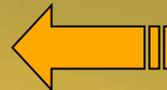
*Maintenance & Repair*



Crushing/Sizing/  
Beneficiation



Processing



Power



Propulsion



Life Support & EVA



Depots



Product Storage & Utilization

Waste



Remediation

Spent  
Material  
Removal



# ISRU and Implementation into Human Mission Architectures

## Development and Insertion of ISRU into Human Exploration Architectures is a function the expected Roles and Confidence of ISRU Capabilities and Products

- **Is ISRU a design driver for transportation, power, and habitation** or an eventual add on?
  - If Add-on: May require redesign and recertification of critical elements if not considered from the start
  - If Driver: May require reassessment of technology/capability selections for transportation, power, and life support. Will require early ground development and possible demonstration/pathfinder missions to build confidence
- **Is ISRU considered as an option for architecture issues and limitations?**
  - Radiation shielding, landing surface stability/plume damage, trash accumulation/ planetary protection, Earth launch and lander payload limitations, etc.
- **Is minimizing upfront development costs or long-term sustainability more important?**
  - ISRU will increase upfront costs for development and emplacement but will enable long-term sustainability through reduced number of launches, reduced logistics, and reusability
- **Is Commercialization of Space and insertion of commercial services into long-term exploration important?**
  - Government/ISRU for development/demonstration risk reduction and anchor tenant for products and services

# The Enabling Role of ISRU in Human Exploration

- **Launch mass savings:** propellants, life support, parts for repairs and new hardware, energy generation
- **Reduce launch numbers:** risk/cost reduction
- **Mission life extensions:** local supply of critical consumables without waiting for new Earth supply, repairs with local materials, repurposing of end-of-life hardware
- **Ensure crew safety:** dissimilar redundancy for life support consumables, repairs, radiation shielding, increased independence for Earth logistics
- **Provide critical solutions for mission assurance:** safe landing zones preparation, extra propellant for return to Earth (leakage/storage failure), large-scale surface shielding
- **Relax critical requirements:** Propulsion Isp (ex. press-fed vs pump-fed), amount of closure of life support (ex. efficiency of water removal from brine)
- **Enable mission capabilities not possible or difficult without ISRU:** reusable landers and space transportation, surface hoppers, hydrocarbon-based fuel cells for surface mobility, trash disposal (propellants and planetary protection), extend duration of exploration activities in extreme environments
- **Enhance crew psychological health:** knowledge and tools for self-reliance and survival
- **Space commercialization:** Develop technology and reduce risk for space commercialization thereby reducing life cycle costs

# Make It vs Bring It – A New Approach to Exploration

## Reduces Risk

- Minimizes/eliminates life support consumable delivery from Earth – Eliminates cargo delivery failure issues & functional backup to life support system
- Increases crew radiation protection over Earth delivered options – In-situ water and/or regolith
- Can minimize impact of shortfalls in other system performance – Launch vehicles, landers, & life support
- Minimizes/eliminates ascent propellant boiloff leakage issues – In-situ refueling
- Minimizes/eliminates landing plume debris damage – Civil engineering and construction

## Increases Performance

- Longer stays, increased EVA, or increased crew over baseline with ISRU consumables
- Increased payload-to-orbit or delta-V for faster rendezvous with fueling of ascent vehicle
- Increased and more efficient surface nighttime and mobile fuel cell power architecture with ISRU
- Decreased logistics and spares brought from Earth

## Increases Science

- Greater surface and science sample collection access thru in-situ fueled hoppers
- Greater access to subsurface samples thru ISRU excavation and trenching capabilities
- Increased science payload per mission by eliminating consumable delivery

## Increases Sustainability/Decreases Life Cycle Costs

- Potential reuse of landers with in-situ propellants can provide significant cost savings
- Enables in-situ growth capabilities in life support, habitats, powers, etc.
- Enables path for commercial involvement and investment

## Supports Multiple Destinations

- Surface soil processing operations associated with ISRU applicable to Moon and Mars
- ISRU subsystems and technologies are applicable to multiple destinations and other applications
- Resource assessment for water/ice and minerals common to Moon, Mars, and NEOs

# Leverage (Gear) ratios using ISRU

Every 1 kg of propellant made on the Moon or Mars saves 7.4 to 11.3 kg in LEO

Potential 334.5 mT launch mass saved in LEO  
= 3 to 5 SLS launches avoided per Mars Ascent

## ▪ Mars mission

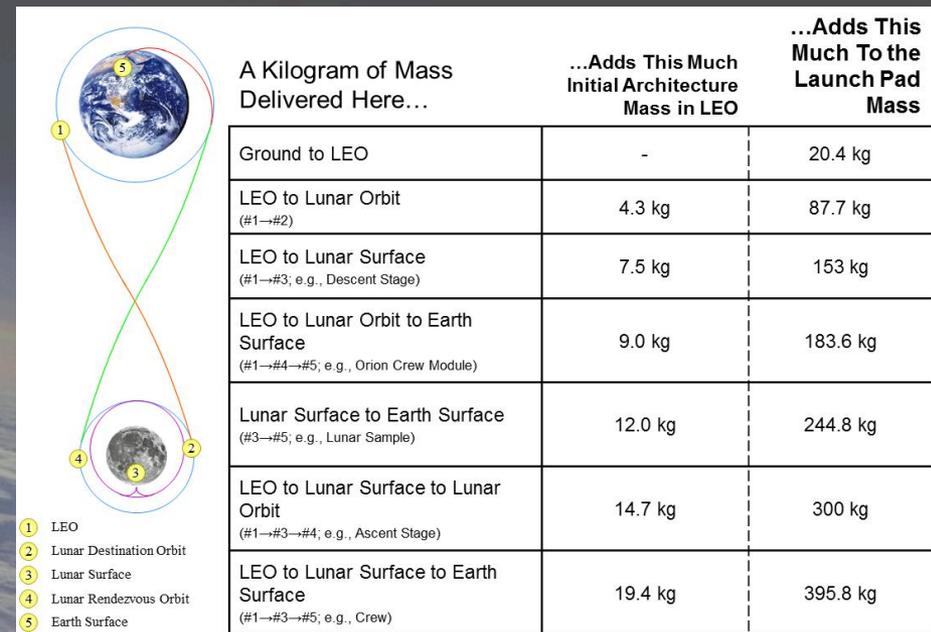
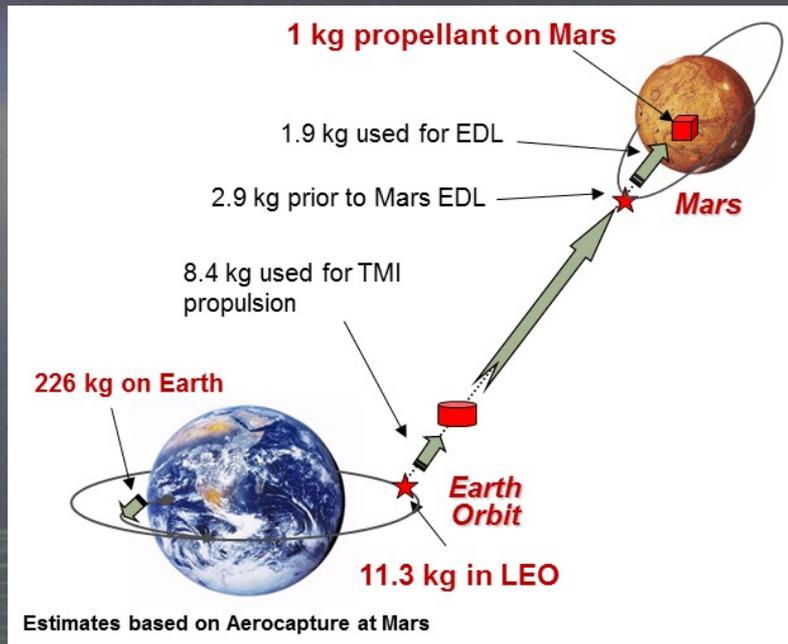
- Oxygen only
- Methane + Oxygen

75% of ascent propellant mass; 20 to 23 mT  
100% of ascent propellant mass: 25.7 to 29.6 mT  
Regeneration of rover fuel cell reactant mass

## ▪ Phobos mission

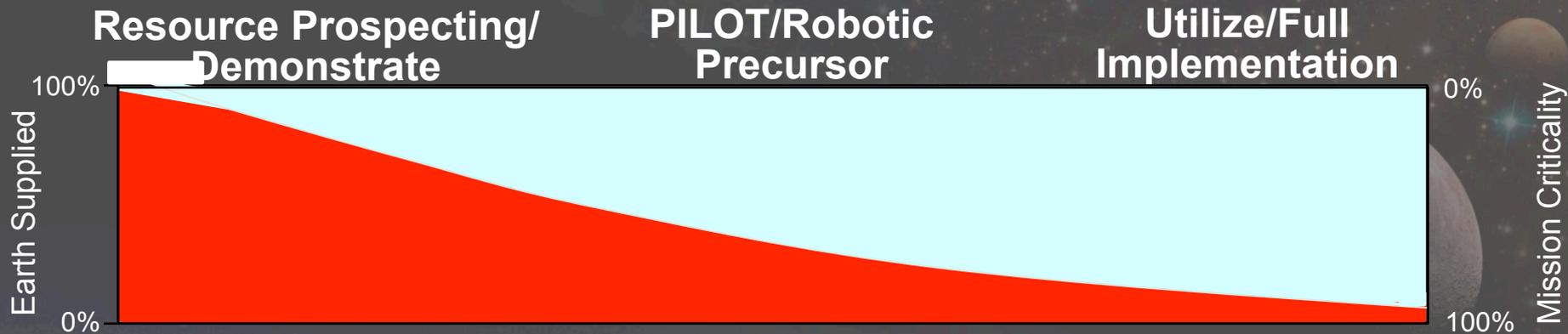
- Trash to O<sub>2</sub>/CH<sub>4</sub>

1000+ kg of propellant



# Phased Approach to ISRU Architecture Incorporation

Utilize phased approach to incorporate ISRU with minimum risk to mission success



## Purpose

- Characterize local material/resources; evaluate terrain, geology, lighting, etc.
- Demonstrate critical technologies, functions, and operations
- Verify critical engineering design factors & environmental impacts
- Address unknowns or Earth based testing limitations (simulants, 1/6 g, contaminants, etc.)

- **Resource Prospector**
- **Mars 2020**
- **Phobos Pathfinder**

## Purpose

- Enhance or extend capabilities/ reduce mission risk
- Verify production rate, reliability, and long-term operations
- Verify integration with other surface assets
- Verify use of ISRU products

- **Mars Surface Pathfinder**
- **Lunar short stay**

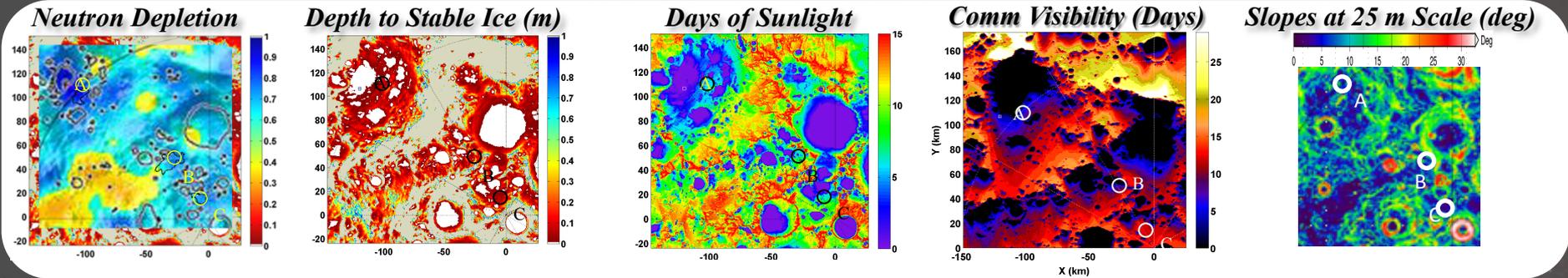
## Purpose

- Enhance or enable new mission capabilities
- Reduce mission risk
- Increase payload & science capabilities

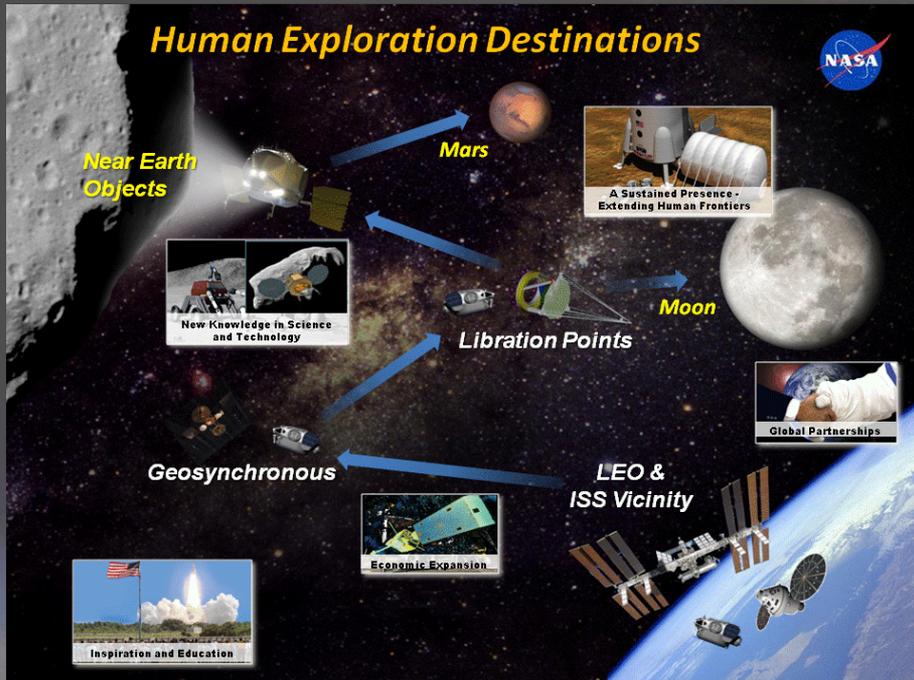
- **Mars DRA 5.0**
- **Lunar outpost**

# Stepwise Approach to Utilizing Space Resources

Or mineral maps for oxygen/metals



# Multiple Pathways to Mars



## Moon Pathway

- **Use Moon as a Proving Ground for Mars Surface ISRU**
  - Regolith ice/water mining for consumables & propellants
  - Long-term operations in severe environment
  - Demonstrate common critical technologies with Mars
  - Trash processing to propellant/gas for humans in cis-lunar space
  - Demonstrate civil engineering
- **Use Moon Resources for Mars Exploration**
  - Surface & cis-lunar propellant depots
  - Reusable lander & space transportation elements
  - Civil engineering for landing pads, roads, emplacement
  - Commercial on-ramp for lunar ISRU products
- **Use Moon Resources to Stay**
  - Metal extraction and part fabrication
  - Surface construction
  - *In situ* Energy: thermal storage, cold crater heat sink

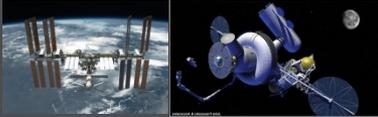
## Cis-Lunar/NEA/Phobos Pathway

- **Use ISS, Cis-Lunar Space and Captured NEA as a Proving Ground for Phobos ISRU**
  - Trash processing to propellant/gas for humans in cis-lunar space
  - Micro-g ISRU for resource prospecting, acquisition, and processing for consumables and shielding
  - Demonstrate in-space manufacturing and construction with in situ derived resources
- **Use NEA/Phobos Resources for Mars Exploration**
  - NEA/Phobos material for shielding and construction
  - Cis-lunar and Phobos propellant depots
  - Reusable lander & space transportation elements
  - Commercial on-ramp for NEA ISRU products
- **Use Mars Resources for Initial Missions and to Stay**
  - Atm. CO<sub>2</sub> capture and processing (O<sub>2</sub>, buffer gases)
  - Soil processing for water → Fuel production with CO<sub>2</sub>
  - Civil engineering for landing and emplacement
  - Long-term: Soil processing for metals & plant growth; manufacturing and construction feedstock

# Stepping Stone Approach for Demonstration & Utilization of Space Resources

## Microgravity Processing & Mining

### ISS & Space Habitats



#### ISRU Focus

- Trash Processing into propellants
- Micro-g processing evaluation
- In-situ fabrication

**Purpose:** Support subsequent robotic and human missions beyond Cis-Lunar Space

### Near Earth Asteroids & Extinct Comets

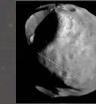


#### ISRU Focus

- Micro-g excavation & transfer
- Water/ice prospecting & extraction
- Oxygen and metal extraction
- In-situ fabrication & repair
- Trash Processing

**Purpose:** Prepare for Phobos & future Space Mining of Resources for Earth

### Phobos

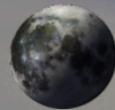


#### ISRU Focus

- Micro-g excavation & transfer
- Water/ice and volatile prospecting & extraction

**Purpose:** Prepare for orbital depot around Mars

## Planetary Surface Processing & Mining



### Moon

#### ISRU Focus

- Regolith excavation & transfer
- Water/ice prospecting & extraction
- Oxygen and metal extraction
- Civil engineering and site construction

**Purpose:** Prepare for Mars and support Space Commercialization of Cis-Lunar Space



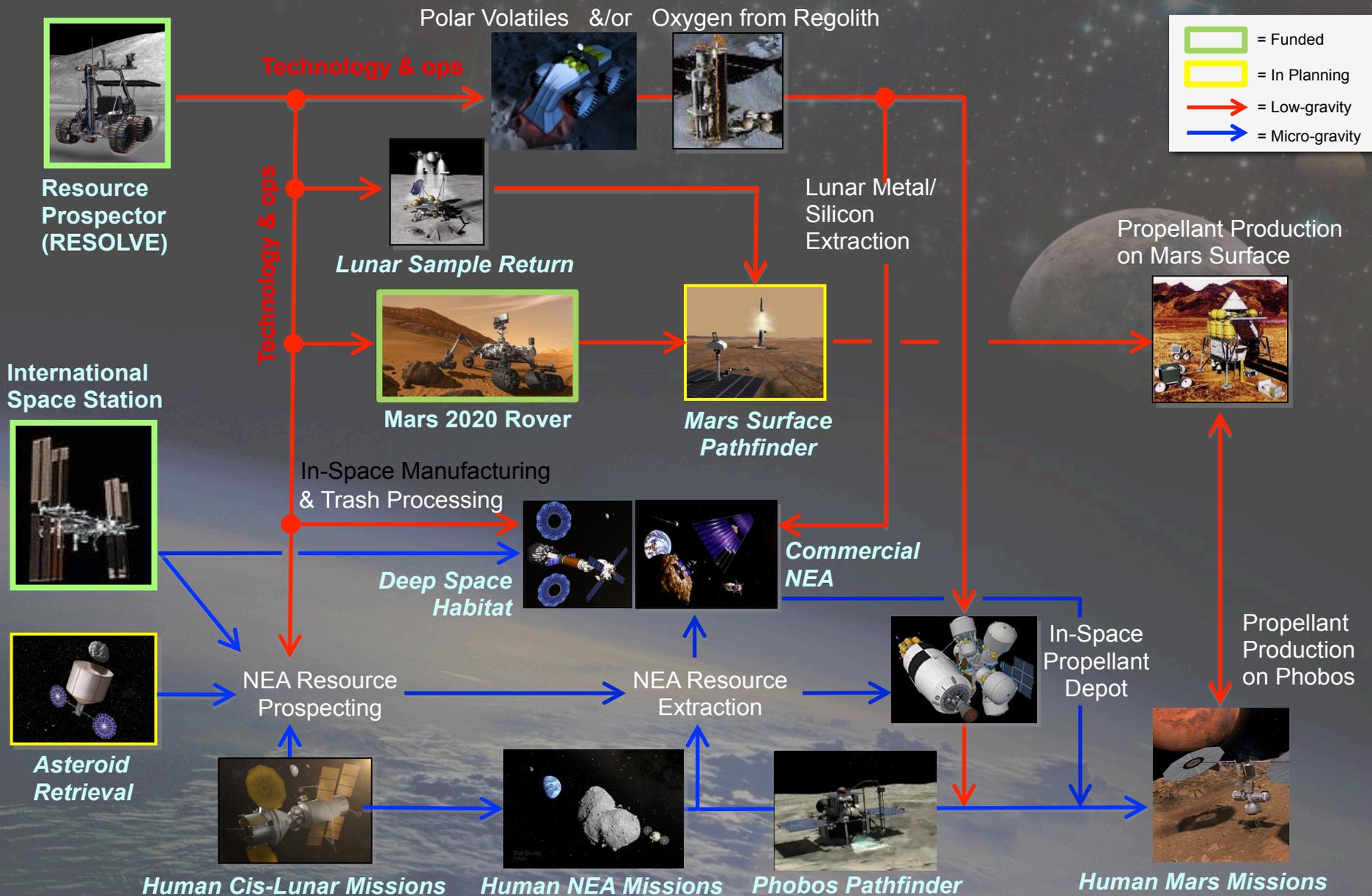
### Mars

#### ISRU Focus

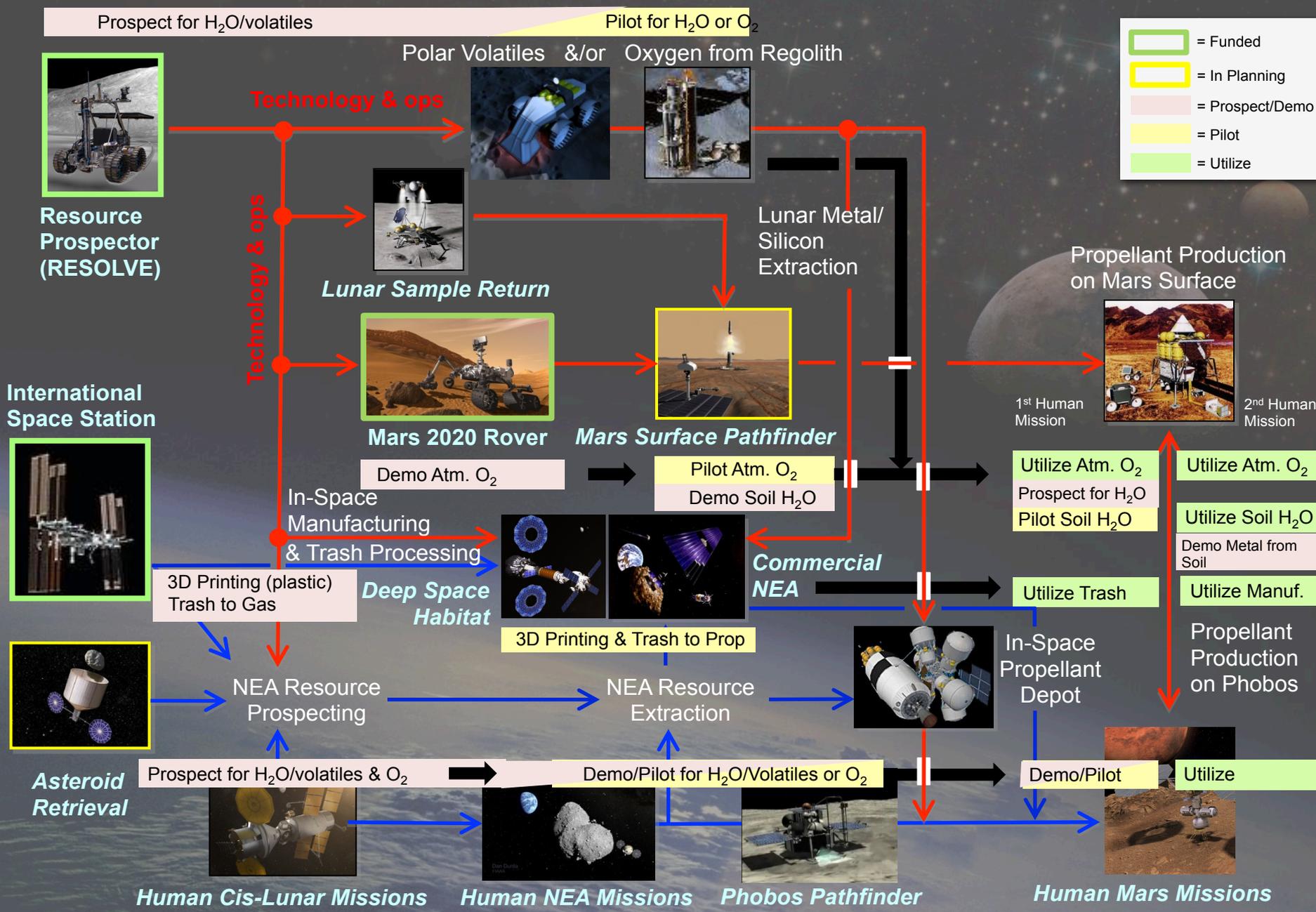
- Mars soil excavation & transfer
- Water prospecting & extraction
- Oxygen and fuel production for propulsion, fuel cell power, and life support backup
- Manufacturing & Repair

**Purpose:** Prepare for human Mars missions

# Notional ISRU Mission Evolution To Support Multiple Pathways to Mars Surface



# Notional ISRU Mission Evolution – With Phased Implementation



# Architecture Approach within the Evolvable Mars Campaign – Mars Surface

Emplacement

(Threshold Goal) 12-18 month stay enabled Earth independent for that time period

1

Consolidation

EMC

“Mars Surface Proving Ground”

2

(Ultimate Goal) Indefinite stay enabled Earth independent

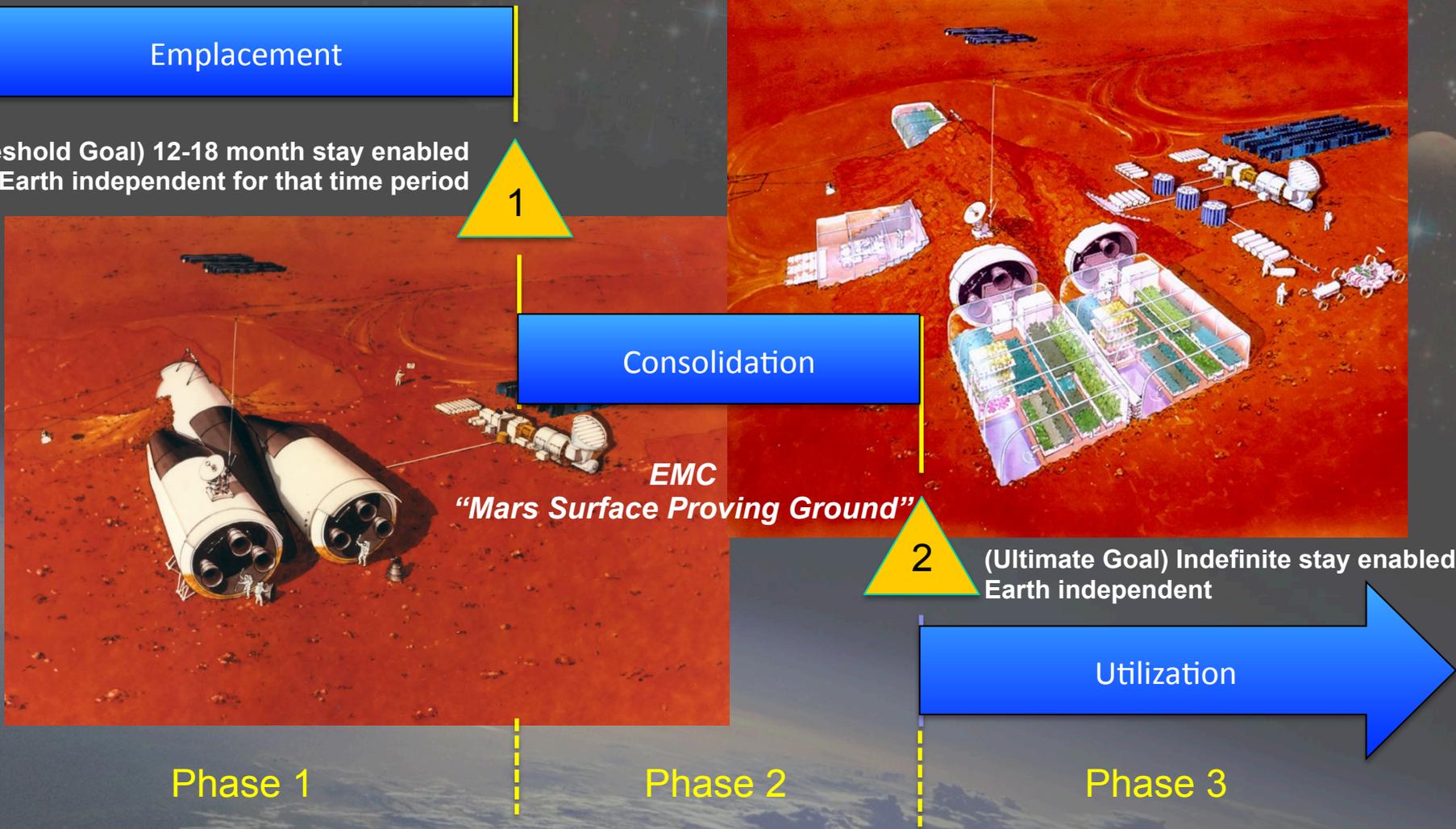
Utilization

Phase 1

Phase 2

Phase 3

A two-major-milestone, three-phase surface architecture approach is used to achieve the Question A-Prime Ultimate Goal (i.e., Earth Independence), and would include a “Mars Surface Proving Ground” during Phase 2



# ISRU Implementation for Mars Surface – Mission Phases

## Pioneering & Emplacement

- **Baseline**
  - O<sub>2</sub> production for Mars Ascent Vehicle (MAV) and life support
- **Should be baselined for 1<sup>st</sup> mission:**
  - Resource exploration & prospecting (surveying, mapping, subsurface sampling & characterization)
  - Trash processing (once crew arrives) for propellant
- **Options for 1st mission**
  - Terrain shaping (leveling, consolidation, berm building, site surveying, surface assets protection, etc..)
  - Water extraction from soil for life support, MAV propulsion, and fuel cell reactants
  - Nitrogen for habitats
  - Landing zone construction
  - Repurposing

## Consolidation

- **ISRU support of Mars Field Station capabilities**
  - Extended range resource exploration & prospecting
  - O<sub>2</sub>, H<sub>2</sub>O, and CH<sub>4</sub> production for life support, propulsion, & fuel cells
  - Trash processing for propellant and planetary protection
  - Scientific exploration support (trenching to expose subsurface features, subsurface instruments emplacement)
  - Landing zone construction
  - Establish consumable fluid depot; transfer capabilities for O<sub>2</sub>, CH<sub>4</sub>, & H<sub>2</sub>O
- **Demonstrate capabilities for Utilization**
  - Cleaning products for science and planetary protection
  - Gases for purging systems, esp. dormant hardware
  - Metals production for parts manufacturing
  - Additive 3D regolith constructions
  - Plastic production with ISRU products
  - Nutrient/food production with ISRU products

## Utilization

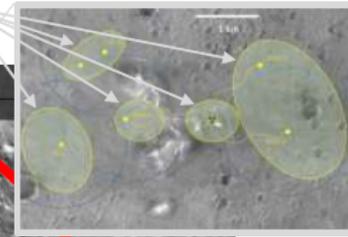
- **All Consolidation Capabilities**
- **New Capabilities**
  - Reusable landers and/or ascent vehicles
  - Hopper propellants and extended range consumables
  - Metals production for parts manufacturing
  - Structure and habitat construction
  - Plant growth with ISRU: soils, water, nutrients
  - Additive 3D Regolith constructions
  - Transformation of end-of-life hardware (other than repurposing):

# Mars Landing Site – Human Exploration



## Nomenclature

Engineering Considerations  
Site Buildup Considerations and Constraints



Mission Phase  
Pioneering,  
Emplacement,  
& Consolidation

Utilization & Earth  
Independence

Science ROI's

Exploration Zone

Science ROI's

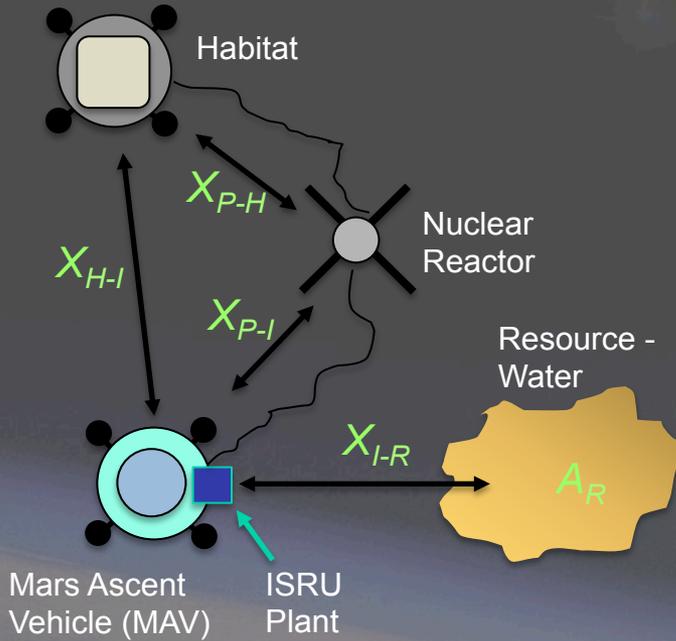
ISRU ROI's

Science ROI's

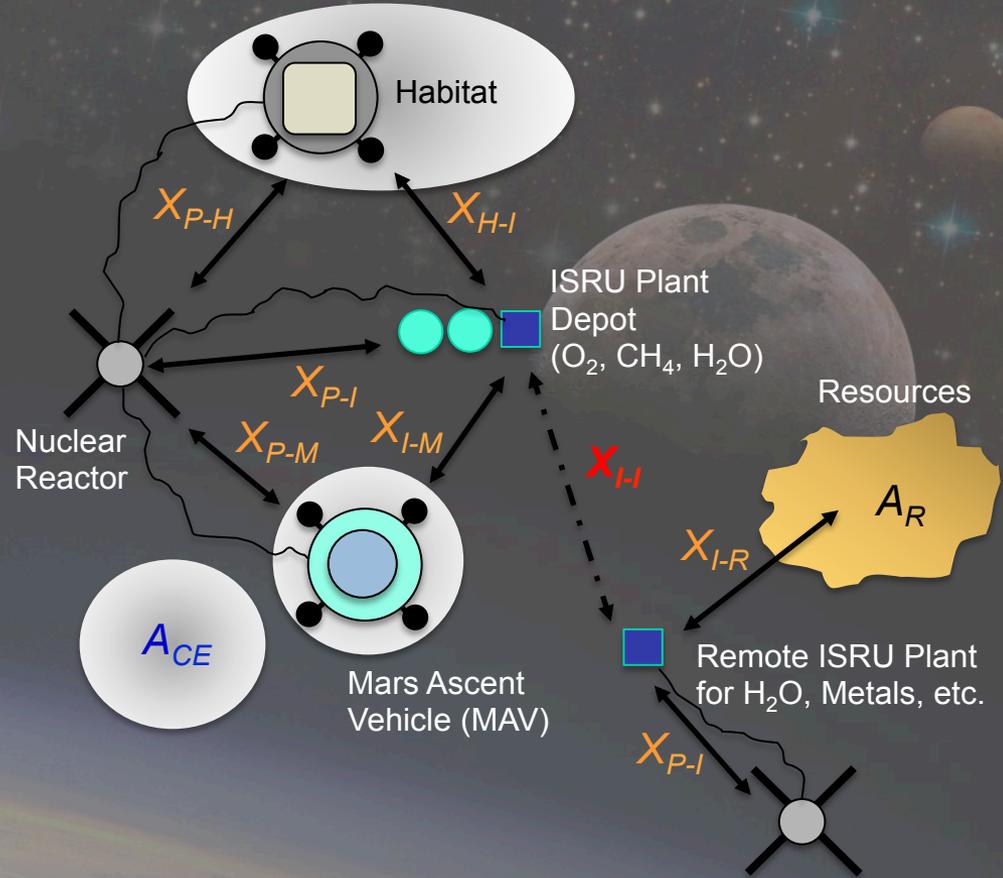
ISRU ROI's

# Mars Surface Infrastructure and ISRU

## Emplacement Phase



## Consolidation or Utilization Phase



$X_{H-I}$  = distance between Habitat and ISRU Plant

$X_{P-I}$  = distance between Power and ISRU Plant

$X_{P-H}$  = distance between Power and Habitat

$X_{I-R}$  = distance between ISRU Plant and Resource

$A_R$  = Area of Resource

$X_{I-M}$  = distance between ISRU Plant and MAV

$X_{I-I}$  = distance between ISRU Plants

$A_{CE}$  = Area of Civil Engineering

# ISRU Site Selection Engineering Guidelines (1)

## ISRU and Infrastructure

- Availability and placement of power: nuclear reactor ( $X_{P-I}$ )
- ISRU Connection to Mars Ascent Vehicle (MAV) ( $X_{M-I}$ ):

## Terrain

- At area of resource of interest ( $A_R$ ):
- Between area of resource and ISRU processing and product storage systems ( $X_{I-R}$ )
- Around infrastructure ( $A_{CE}$ ) and ISRU processing/storage systems ( $X_{H-I}$ ,  $X_{M-I}$ )

## Access to Water and Mineral Resources

- For Emplacement Phase: use in human missions (first 3 missions)  $X_{I-R}$  and  $A_R$
- For evaluation of water extraction methods for future site selection or expanded/sustained human surface operations ( $X_{I-I}$ )
- For evaluation of mineral resources for future site selection

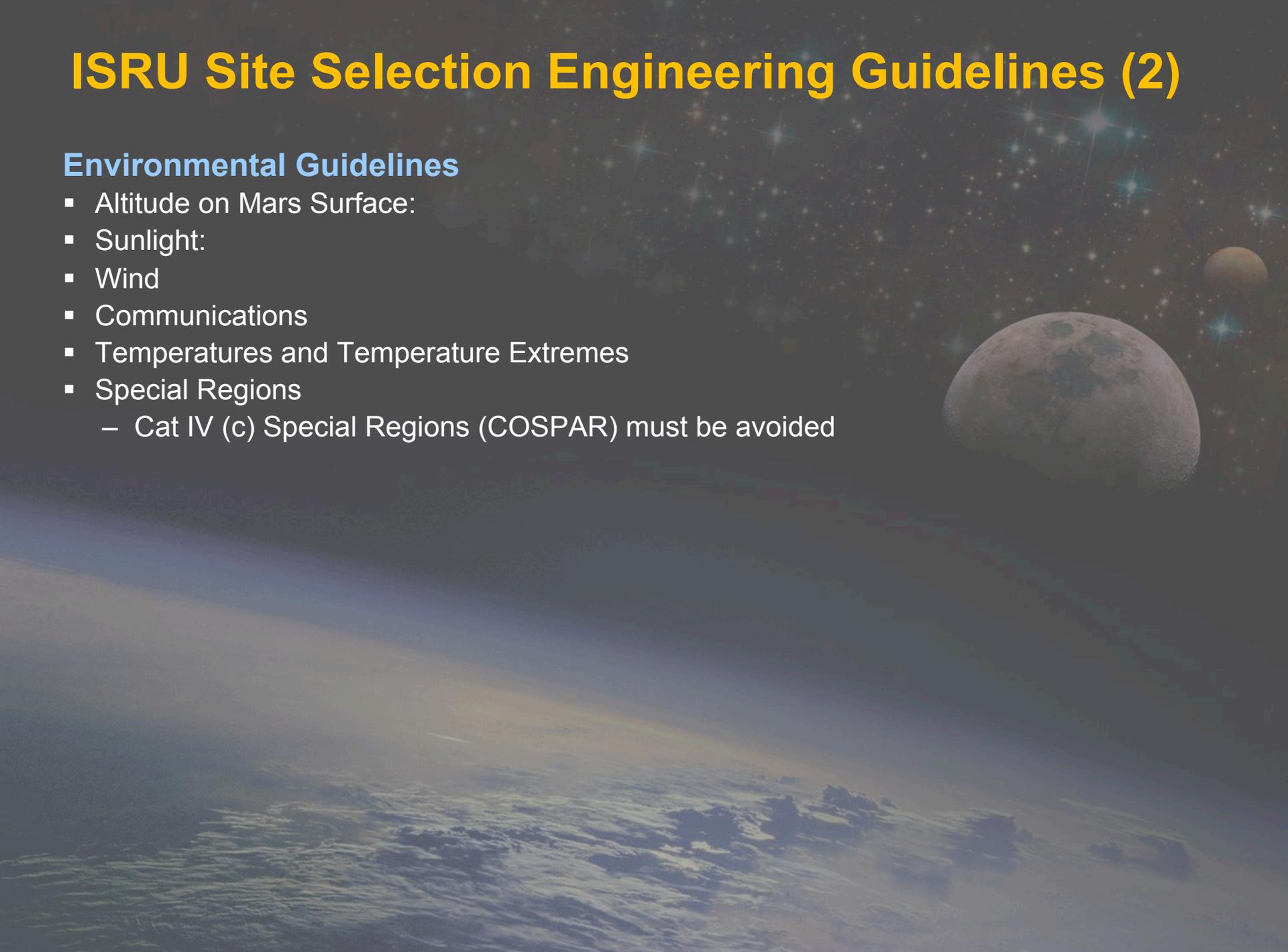
## Civil Engineering and Site Construction

- Natural Features Preferential for Civil Engineering
- Natural features for Radiation Production
- Natural features for Infrastructure Emplacement and Road/Landing Pads
- Features and resources for Construction

# ISRU Site Selection Engineering Guidelines (2)

## Environmental Guidelines

- Altitude on Mars Surface:
- Sunlight:
- Wind
- Communications
- Temperatures and Temperature Extremes
- Special Regions
  - Cat IV (c) Special Regions (COSPAR) must be avoided



# Lunar and Space Exploration Vision for Space Resource Utilization

- **Affordable and Sustainable Human Exploration requires the development and utilization of space resources**
- **The search for potential resources (Prospecting) and the production of mission critical consumables (propellants, power reactants, and life support gases) is the primary focus of NASA technology and system development since they provide the greatest initial reduction in mission mass, cost, and risk.**
- **There are multiple pathways to Mars**
  - **Identification and Selection of common technologies and processes for multiple destinations is critical**
  - **Terrestrial and commercial involvement is important to reduce long-term costs and risks**
- **Plans for developing ISRU through an evolution of missions starting with the lunar Resource Prospector Mission and Asteroid Retrieval Mission has been proposed to minimize risk**
  - **Several missions in this evolutionary plan have been initiated or are in the planning stage**

# Questions?



# Space Resources Utilization Changes How We Can Explore Space

## Mass Reduction

- >7.5 kg mass savings in Low Earth Orbit for every 1 kg produced on the Moon or Mars
- Chemical propellant is the largest fraction of spacecraft mass

## Cost Reduction

- Allows reuse of transportation systems
- Reduces number and size of Earth launch vehicles

## Risk Reduction & Flexibility

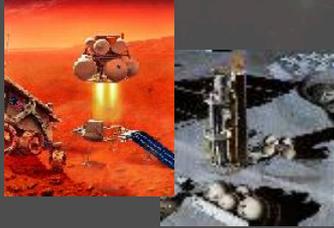
- Number of launches & mission operations reduced
- Use of common hardware & mission consumables enables increased flexibility
- In-situ fabrication of spare parts enables sustainability and self-sufficiency
- Radiation & landing/ascent plume shielding
- Reduces dependence on Earth

## Solves Terrestrial Challenges & Enables Space Commercialization

- Develops alternative & renewable energy technologies
- New renewable construction
- CO<sub>2</sub> remediation
- Green metal production
- Provides infrastructure to support space commercialization
- Propellant/consumable depots at Earth-Moon L1 & Surface for Human exploration & commercial activities

## Expands Human Presence

- Increase Surface Mobility & extends missions
- Habitat & infrastructure construction
- Substitutes sustainable infrastructure cargo for propellant & consumable mass



# Moon, Mars, & Near Earth Objects (NEOs)

	Moon	Mars	NEOs
Gravity	1/6 g	3/8 g	Micro-g
Temperature (Max)	110 °C/230 °F	20 °C/68 °F	110 °C/230 °F
(Min.)	-170 °C/-274 °F	-140 °C/-220 °F	-170 °C/-274 °F
(Min. Shade)	-233 °C/-387.4 °F		-233 °C/-387.4 °F
Solar Flux	1352 W/m <sup>2</sup>	590 W/m <sup>2</sup>	Varied based on distance from Sun
Day/Night Cycle	28+ Days - Equator Near Continuous Light or Dark - Poles	24.66 hrs	Varied - hrs
Surface Pressure	1x10 <sup>-12</sup> torr	7.5 torr	1x10 <sup>-12</sup> torr
Atmosphere	No	Yes CO <sub>2</sub> , N <sub>2</sub> , Ar, O <sub>2</sub>	No
Soil	Granular	Granular & clay; low hydration to ice	Varied based on NEO type
Resources	Regolith (metals, O <sub>2</sub> )	Atmosphere (CO <sub>2</sub> )	Regolith (metals, O <sub>2</sub> )
		Hydrated Soils	Hydrated Soils
	H <sub>2</sub> O/Volatile Icy Soils		H <sub>2</sub> O/Volatile Icy Soils

- The Moon has aspects in common with Mars and NEOs/Phobos
- All destinations share common technologies, processes, and operations
- **NEO micro-gravity environment is the largest difference between destinations**

# Space Resources Challenges

## ▪ What resources exist that can be used?

- Oxygen and metals from regolith/soils
- Water/Ice
- Atmospheres & volatiles
- Thermal environments
- Sunlight
- Shielding: Lava tubes, regolith, water, hills/craters

## ▪ What are the Uncertainties associated with the Resources?

- Polar volatiles:
  - **Where is it**, What is there, how is it distributed, terrain and environment, contaminants?
- Mars water/ice in soil
  - What form is the water (ice, mineral-bound), how is it distributed, terrain and environment, contaminants?
- Near Earth Objects/Asteroids/Mars Moons
  - What is there, how is it distributed, environment, contaminants
  - Ability to revisit NEO of interest (time between missions)
  - What techniques are required for micro-g mining and material processing?

## ▪ Planetary Protection - Mars

- Forward contamination prevention
- Preventing creation of 'Special Regions' during extraction and processing to extract water

❖ Good simulants are needed for development

# ISRU Technical Challenges

- **Is it Technically feasible to collect, extract, and process the Resource?**
  - Energy: Amount and type (especially for polar resources in shadowed regions)
  - Life, maintenance, performance
  - Amount of new technology required
- **Long-duration, autonomous operation**
  - Autonomous control & failure recovery
  - No crew for maintenance; Non-continuous monitoring from Earth
- **High reliability and minimum (zero) maintenance**
  - No (or minimal) maintenance capability for pre-deployed and robotic mission applications
  - Networking/processing strategies (idle redundancy vs over-production/degraded performance)
  - Develop highly reliable thermal/mechanical cycle units (valves, pumps, heat exchangers, etc.)
  - Develop highly reliable, autonomous calibration control hardware (sensors, flowmeters, etc.)
- **Operation in severe environments**
  - Efficient excavation of resources in dusty/abrasive environments
  - Methods to mitigate dust/filtration for Mars atmospheric processing
  - Micro-g environment for asteroids and Phobos/Deimos
- **Integration and Operation with other Exploration Systems**
  - Exploration systems must be designed to utilize ISRU provided products; may cause selection of different technologies/approaches

# ISRU Development Areas vs Mission Applications

ISRU Development Areas	Resource Prospector (Moon, Mars, NEO)	Atmosphere Processing (Mars)	Regolith/Soil Processing for Water (Moon, Mars, NEO)	Material Processing for Oxygen/Metals (Moon, NEO)	Trash Processing to Fuel	ISRU Development Areas	Resource Prospector (Moon, Mars, NEO)	Atmosphere Processing (Mars)	Regolith/Soil Processing for Water (Moon, Mars, NEO)	Material Processing for Oxygen/Metals (Moon, NEO)	Trash Processing to Fuel
<b>Regolith-Soil Extraction</b>						<b>Gas Processing</b>					
Regolith (granular) Excavation & Transfer	X		X	X		Dust/Particle Filtration		X	X	X	X
Hard Material Excavation & Transfer	P			P	P	CO <sub>2</sub> Capture - Separation		X		P	X
Hydrated Soil /Material Excavation & Transfer	P		X	X	X	CO <sub>2</sub> Conversion into CO-O <sub>2</sub>		P			
Icy-Soil Excavation & Transfer	X		X	X		CO/CO <sub>2</sub> Conversion into H <sub>2</sub> O-CH <sub>4</sub>		P		P	X
<b>Resource Characterization</b>						<b>Water Processing</b>					
Physical Property Evaluation	X					H <sub>2</sub> -CH <sub>4</sub> Separation		P		P	X
Mineral/Chemical Evaluation	X			X		<b>Water Processing</b>					
Volatile-Product Analysis	X	X			X	Water Capture	X		X	X	X
<b>Regolith-Soil Processing (Volatiles, O<sub>2</sub>, Metal)</b>						<b>Support Systems</b>					
Crushing			P	X	P	Water Cleanup - Purity Measurement			X	X	X
Size Sorting				P		Water Electrolysis		P	X	P	X
Beneficiation/Mineral Separation				P		Regenerative Dryers		P	X	P	X
Solid/Gas Processing Reactor	X		X	X	X	<b>Support Systems</b>					
Solid/Liquid Processing Reactor				P		Extended Operation Power Systems			P	P	
Contaminant Removal			X	X	X	Extended Operation Thermal Systems			P	P	
						Cryogenic Liquefaction, Storage, and Transfer					

P = Possible need

**Main Discriminators:** material (physical, mineral) water content/form (ice, hydration, surface tension), gravity (micro, low), pressure, (vacuum, atm.), and weathering

# Implementation Strategy for Space Resource Utilization

- **ISRU implementation is phased to minimize risk to human exploration plans**
  - **Prospect and Demonstrate** – *Mission Feasibility*
    - Evaluate potential exploration sites: terrain, **geology/resources**, lighting, etc.
    - Demonstrate critical technologies, functions, and operations
    - Evaluate environmental impacts and long-term operation on hardware: dusty/abrasive/electrostatic regolith, radiation/solar wind, day/night cycles, polar shadowing, etc.
  - **Pilot Scale Demonstration** – *Mission Enhancement*
    - Perform critical demonstrations at scale and duration to minimize risk of utilization
    - Obtain design and flight experience before finalizing human mission element design
    - Pre-deploy and produce product before crewed missions arrive to enhance mission capability
  - **Utilization Operations** – *Mission Enabling*
    - Produce at scale to enable ISRU-fueled reusable landers and support extended duration human surface operations (mobility, manufacturing, construction, food, etc.)
    - Commercial involvement or products bought commercially based on previous mission results
- **Identify technologies and systems for multiple applications (ISRU, life support, power) and multiple mission (Moon, Mars, NEOs)**
- **Multinational (government, industry, and academia) involvement for development and implementation leading to space commercialization**

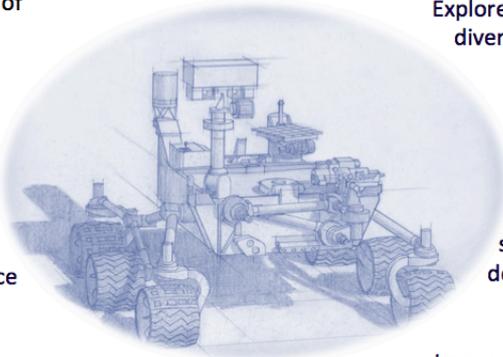
# MOXIE - Mars Oxygen ISRU Experiment

Seek signs of past life

Collect a returnable cache of samples using a coring system

Use efficient surface operations, one Mars-year lifetime

Prepare for human exploration



Explore a geologically diverse landing site

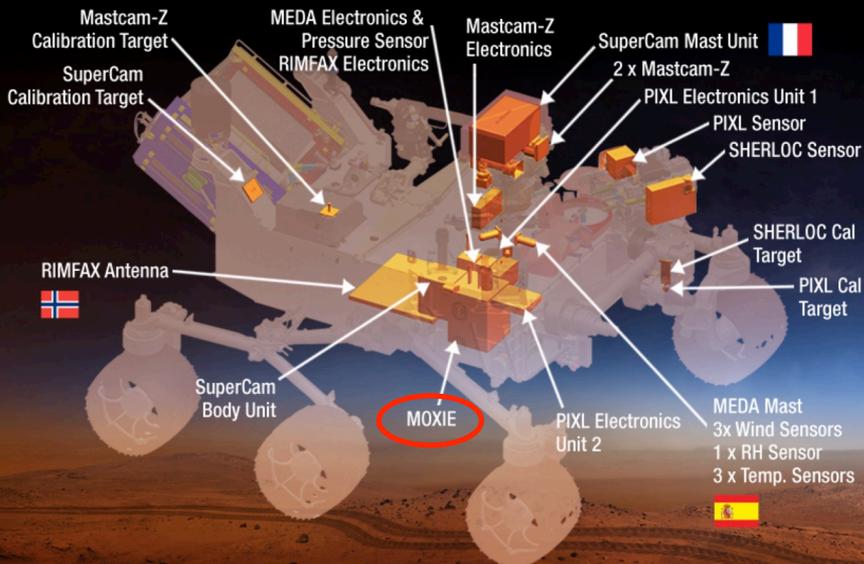
Confirm ancient habitability of site

Make coordinated scientific measurements, down to microscopic level

Benefit from design heritage of Curiosity rover

Improve Entry, Descent, Landing technology for precise landing

## Mars 2020 Rover



## Purpose

- Verification of >99.6% pure O<sub>2</sub> at >20 g/hr
- Key process variables that allow us to:
  - Optimize the production process
  - Inform future design

## Demonstration Parameters

- Mass: 8.1 kg
- **Max Power: 53/218 W** (CAC/generation)
- Volume: 23.9 x 23.9 x 30.9 cm
- **O<sub>2</sub> Production Rate: 22 g/hr**
- CO<sub>2</sub> Feed Rate: 0.026 g/s
- # SOXE stacks: 2
- Cells per Stack: 11
- Active Cell Area: 12 cm<sup>2</sup>
- Operating Temp: 800 °C
- **Mass: 9.7 kg (MEV)**
- Max Power: 244 W (MEV)
- Data Volume: 1.3 MB per compression/generation cycle
- Compression time: 3 hr cumulative (flexible timing)
- O<sub>2</sub> generation time: 30 minutes
- Control: Multi-variable process control & monitoring
- Dust Imaging: <10μ resolution

# Resource Prospector - RESOLVE

The Resource Prospector Mission (RPM) is being developed to prospect for volatiles (water ice) in a polar region of the Moon, and demonstrate an In-Situ Resource Utilization (ISRU) capability.



RP15 concept

◆ The RP Project is working two mission angles in FY15:

- “RP20”: Phase A partner development for 2020 flight project
- “RP15”: FY15 “Mission-in-a-year” build of an integrated rover/payload system to mature designs and retire mission risk

